



# Community-led HIA effectiveness: Stakeholder views



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**#IAIA16**

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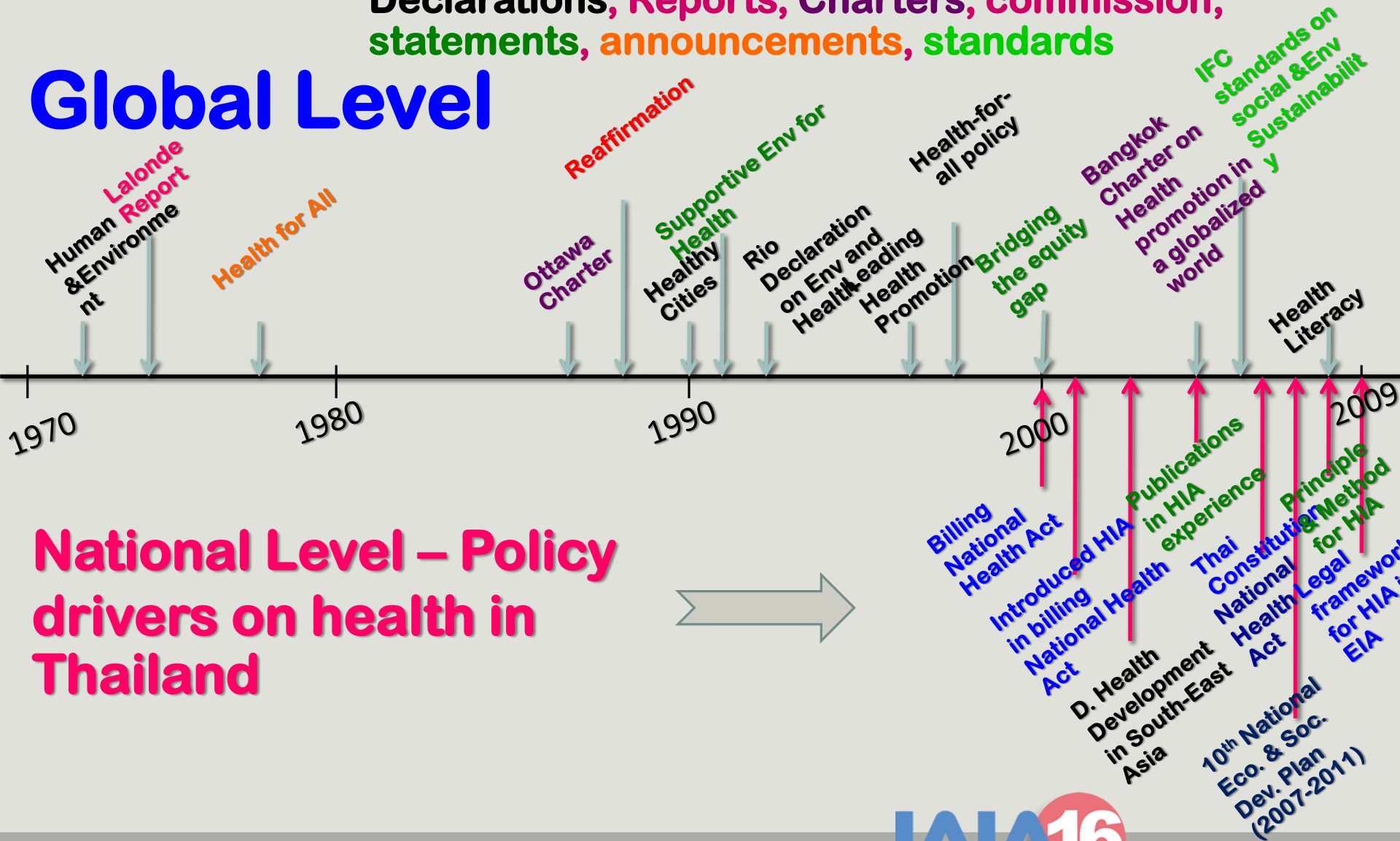
- Why HIA? and why community-led?
- Identifying the gaps
- Defining “Effectiveness” & its concepts
- Research methodology & case study context
- Key findings
- Conclusion



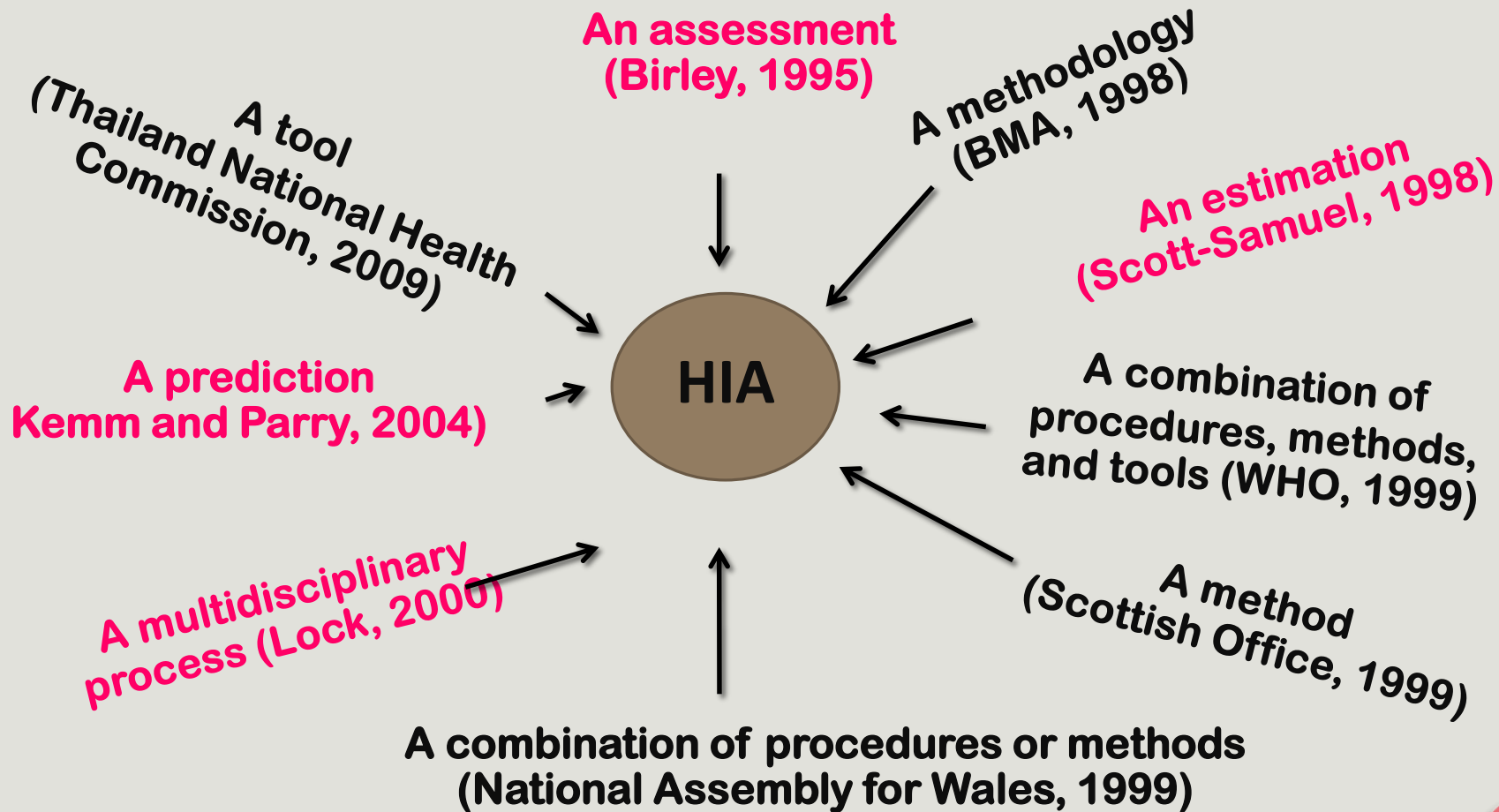
# Policy drivers on health in decision-making

Declarations, Reports, Charters, commission, statements, announcements, standards

## Global Level



# How do people define HIA?



# Gaps and Questions



Policy? Plan?  
Programme?  
Project?

In what ways do people perceive and use HIA?  
Why do people use HIA or why not?

What are their perceptions?

Do they consider whether it is good or bad?

How can HIA be effective?

**Effectiveness ?!**



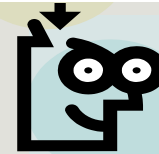
# Perspectives of

## IA tool's EFFECTIVENESS

Learning &  
Shifted  
attitudes



IA findings



Normative

Transactive



Substantive

Procedural

Policy/ Decision  
makers



# **Why community-led HIA is selected as the research focus ?**

# Research Design & Methodology

## Case study selection:

There were 47 reports found relevant to HIA whereas there were only **10 cases** among these that presented the HIA process associated with specific cases.

สถาบันวิจัยระบบสาธารณสุข(สวรส.)  
Health Systems Research Institute

คลังความรู้และข้อมูลระบบสุขภาพ  
Knowledge Bank - KB

Health Research - online research resources

ยินดีต้อนรับ

คลังข้อมูลและความรู้ระบบสุขภาพได้ปรับปรุงระบบเพิ่มเติม ตามผลการสำรวจความพึงพอใจต่อการใช้งานวิชาการสวรส. และเพื่อการใช้งานที่ง่ายและสะดวกขึ้น การปรับปรุงมีดังนี้

- เพิ่มความปลอดภัยในการ Log in เข้าสู่ระบบ
- เพิ่มการ highlight คำค้น โดยแสดงไว้ในผลการค้นหา
- แสดงข้อมูลผลการค้น โดยกำหนดให้แสดงเฉพาะข้อมูลที่จำเป็น
- เพิ่มความสะดวกในการดาวน์โหลด และแจ้งปัญหาการดาวน์โหลด(กรณีที่ดาวน์โหลดไม่สำเร็จ)
- เลือกวัตถุประสงค์การดาวน์โหลดเพียงครั้งเดียว จากเดิมต้องเลือกทุกเรื่องที่ดาวน์โหลด
- เชื่อมต่อกับ Social Network โดยมีปุ่ม Like(ถูกใจ) และปุ่ม Share(เผยแพร่) รายการที่ซ่อนหรือต้องการพาดทาง Facebook

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(<http://kb/hsri.or.th/dspace/>)



# Single case study approach: the (community-led/ voluntary) HIA of Potash Mine (Udon Thani, Thailand)

## Selected

Suitable, purposive, accessible (Denscombe  
(2003), Cashmore (2004), Silverman (2005),  
and Stake (2005))

Availability of EIA

Public expression regarding the right to be healthy  
(Section 67 Thai Constitution 2007)

Public participation

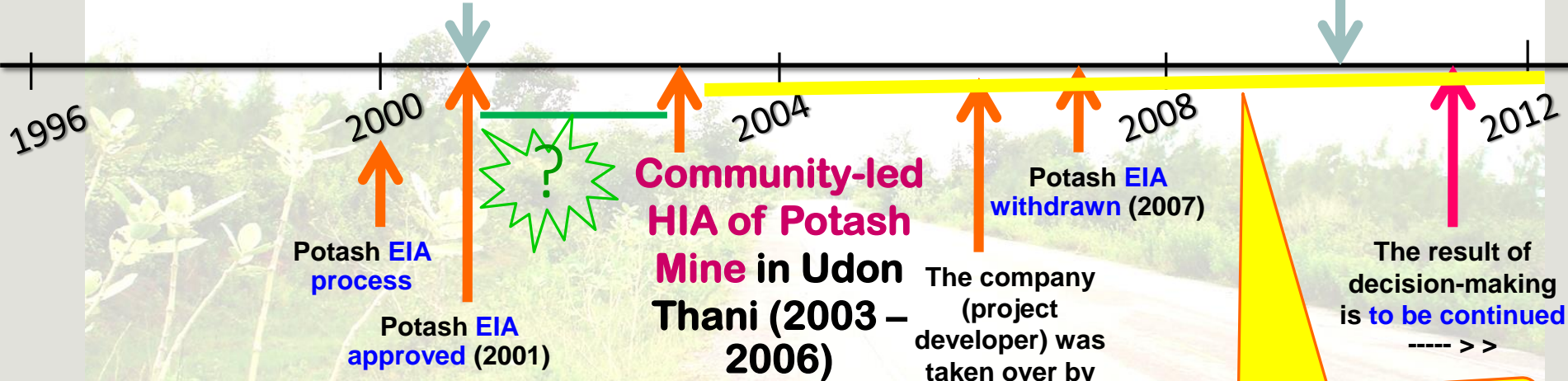
Ongoing process of decision-making



# Community-led/ voluntary HIA of Potash Mine (Udon Thani, Thailand)

HIA case studies as research projects (funded by HSRI) in Thailand (started 2001)

Regulatory framework for HIA in EIA = EHIA (2009)



Effectiveness

# Key point summary of community-led HIA report Potash Mine (Udon Thani, Thailand) case

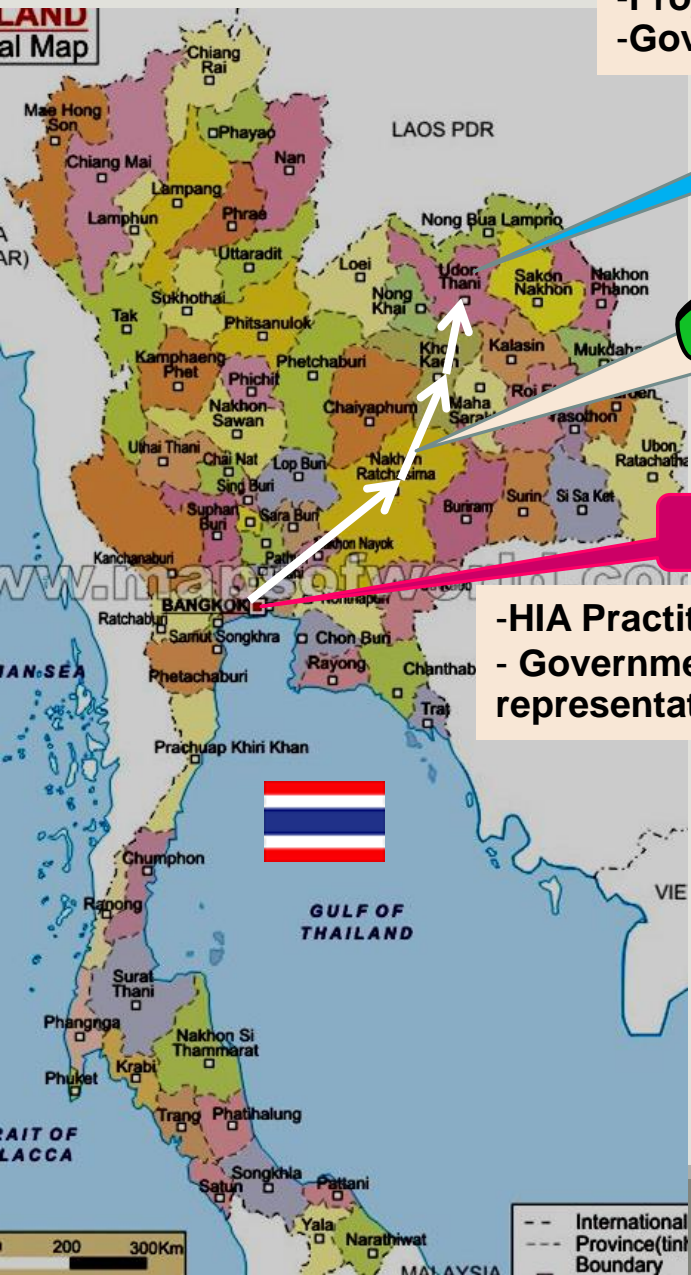
<b>Project developer</b>	<b>: Private sector</b>
<b>Mining type</b>	<b>: Room and Pillar</b>
<b>Operation TIME</b>	<b>: 25 years</b>
<b>Environmental and health constraints</b>	<b>: Concerns on impacts that might occur from the construction and operation phase towards human health and physical environment in the sensitive area</b>
<b>Recommendations for project development</b>	<b>: <u>Option 1</u>: Terminate the project development <u>Option 2</u>: Review the project development by conducting SEA on relevant Potash development in the northeast,</b>

Source: Adapted based on EIA report by Team Consulting Engineering and Management Co., Ltd. (2001) and HIA report by Pemgkam et al. (2006)

# Research Setting

- Identify key informants

- HIA Practitioner team
- Community members
- Project developer team
- Government sector representatives



Udon Thani



Bangkok

- HIA Practitioner team
- Government sector representatives

Semi-structured Interview  
Unstructured Interview  
Documentary Analysis



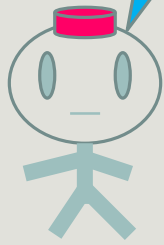
Perception of HIA and its effectiveness

It's just a tool for me

I've learned a lot from it!!

I rarely know about it..

What did others say?

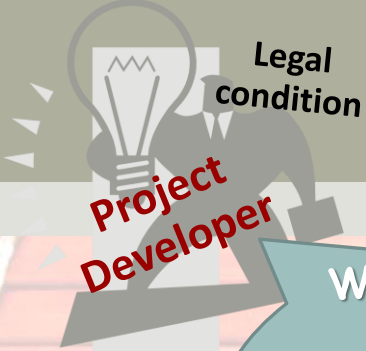


I don't want the mine! I am worried..

What is it relied on?



# Findings



We've expressed our good intention by withdrawing the EIA, so, **can we have another chance?**

Even though we didn't get involve with this HIA process. At least, it is **a part of experience leading related sectors to work together** at present.

We didn't know about this project before, HIA allowed us to **work and learn together**. leading us to **empower** our voice. We **have got an evidence** of the impacts showing to the public.

We didn't get involved with this HIA. There was no **legal regulation for HIA** then.



Reliable negotiation tool

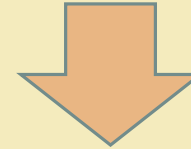


**Project proponent**



**Procedural/  
Transactive  
effectiveness**

**Representatives from  
related agencies**



**Procedural  
effectiveness**

**HIA practitioners/  
facilitator, community  
members, NGOs**



**Procedural/ Normative/  
transactive effectiveness**

**Statutory consulting  
agencies/ decision  
makers**



**Procedural/  
Substantive  
effectiveness**

# Procedural

## Weaknesses:

Lack of legal basis  
Collaboration between institutions  
Human resource in the institutions  
Politics influence

## Strengths:

Fund supported for HIA practice  
Initiate participation &  
capacity building at local level

# Substantive

## Weakness:

Decision makers and  
project developer tend to  
pay **little attention** to this  
HIA

## Strength:

**Engage community**

## Weakness:

Availability of Human resource

## Strength:

The practitioner team used the  
resource they had wisely

## Weakness:

Lack of legal basis leading to less  
perception on HIA and adjusting  
policy framework

## Strength:

**Enhance public perception on health  
concern and outcomes**

Transactive

Normative

# Case update

- **Ongoing process EHIA**
- **Conflict has not been completely solved**

Submission to the UN Human Rights Committee (CCPR)  
117<sup>th</sup> Session (20 June – 15 July 2016)

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Completed by:



Country Report Task Force for preparation of List of Issues - THAILAND



# Conclusion

- Stakeholders see effectiveness of IA differently regarding their roles and the extent to which they got involved with HIA process

**Barrier: Lack of trust & Clarification of policy for sustainability**

# Recommendation

- Sustainability assessment maybe interesting approach for mining resource management in Thailand

# **Thank you**

**Acknowledgement:  
Suranaree University of Technology**